

Supplier Social Responsibility Survey

December 2019

Company and Responder Information

1. Please enter the name of your company.
2. Please provide the contact information of the person who is gathering or producing this information.
 - a. Name
 - b. Title
 - c. Email

Company Environmental Policy

3. Does your company develop and communicate a company Environmental Policy?
 - a. Yes
 - b. No
4. Please upload a copy of your company Environmental Policy. If you cannot share a copy, please skip this part.

Formalized Environmental Programs

5. Has your company formalized a process to assess and document environmental risks considering the start-up of new operations, change of operations, and/or periodic review of risks related to current activities?
 - a. Yes
 - b. No
6. Does your company have documented objectives, goals, or programs for environmental performance improvement, including metrics or targets, in the following areas? Select all that apply.
 - a. Energy Consumption
 - b. Water Consumption
 - c. Hazardous Waste
 - d. Non-Hazardous Waste
 - e. Greenhouse Gas Emissions
 - f. Other (please specify)
 - g. No documented objectives, goals, or programs exist

Monitoring and Reporting of Environmental Data

7. Does your company measure and publicly report environmental information (e.g., on company website, in company sustainability report)?
 - a. Yes (please provide a link if it is publicly available)
 - b. No
8. Does your company monitor emissions to the following from your operations? Select all that apply.
 - a. Emissions to land
 - b. Emissions to water
 - c. Emissions to air
 - d. Other (please specify)
 - e. None of the above
9. Does your company dispose of hazardous waste (e.g., pharmaceutical waste, medical waste, chemical waste, etc.)?
 - a. Yes (please describe how it is disposed)
 - b. No
 - c. Not Applicable
10. Do any of your company's products contain any EU REACH (EC 1907/2006) Substances of Very High Concern (SVHC) at levels >0.1% w/w? Please explain your answer.
 - a. Yes
 - b. No
 - c. Not Applicable

11. Does your company implement any of the following programs? Select all that apply.
- a. A Product Stewardship/Life-cycle Approach to reduce the environmental impacts of production
 - b. A product reuse or recycling program
 - c. An end-of-life take-back program for your products/packaging
 - d. An initiative to ensure the company's packaging or products contain post-consumer recycled materials
 - e. None of the above

Permits and Authorizations

12. Please list all relevant environmental permits or authorizations that your company holds. If not applicable, please write "Not Applicable."
13. Does your company use an environmental management standards system? Select all that apply.
- a. ISO 14001 certification
 - b. ISO 50001 certification
 - c. Other environmental certifications (please specify)
 - d. No environmental management standards systems are used
 - e. Not Applicable
14. Does your company transport any hazardous materials that are subject to a regulatory authority that specifies transportation requirements?
- a. Yes
 - b. No
15. Has your company experienced pending or recent (last three years) environmental incidents (for example, non-compliance events, spills, notice of violation, fines, or other environmental events)?
- a. Yes (please specify)
 - b. No

Restricted Substances

16. Does your company have programs in place to address and comply with the requirements of chemical regulations, such as EU REACH, RoHS, WEEE, Battery Directive, etc.?
- a. Yes
 - b. No
 - c. Not Applicable
17. Are tin, tantalum, tungsten, or gold (including the ores from which they were derived) necessary to the functionality or production of a product manufactured or contracted to be manufactured by your company?
- a. Yes
 - b. No
 - c. Not Applicable
18. Does your company have a policy in place addressing issues related to "Conflict Minerals" (tin, tungsten, tantalum, or gold)?
- a. Yes
 - b. No
 - c. Not Applicable

Area Risks

19. Are any of your sites located in a region that has experienced any of the following natural disasters in the previous 10 years: flood, earthquake, damaging windstorm, wildfire, volcanic activity, tsunami impact, hurricane/typhoon, tornado?
- a. Yes (please specify)
 - b. No
20. Does your company operate in a water scarce region?
- a. Yes (please describe long-term strategy)
 - b. No

Workforce

21. How many employees (non-contract) does your company have?
22. How many indirect, contract or dispatch workers are used?
23. Does your company use migrant or foreign workers?
 - a. Yes (please specify from where and what percent of the total workforce is made up of these migrant or foreign workers)
 - b. No
24. Does your company use workers under the age of 18?
 - a. Yes
 - b. No
25. If the answer to Question 24 is yes, please answer the following questions.
 - a. In what countries do the workers under the age of 18 work?
 - b. Are these workers employed in accordance with local labor law?
 - c. What is the age of the youngest worker?

Labor

26. Does your company have a policy(ies) or statement of commitment regarding labor practices? If yes, please check all the following for which the statement applies.
 - a. Compliance with all applicable labor and employment laws
 - b. Freely chose employment
 - c. Anti-human trafficking
 - d. Nondiscrimination
 - e. Non-harassment
 - f. Grievance mechanism
 - g. Whistleblower reporting
 - h. Non-retaliation
 - i. Prohibition of child labor
 - j. Fair wages
 - k. Working hours
 - l. Voluntary overtime
 - m. Working conditions
 - n. Freedom of association
 - o. Not Applicable – company does not have such a policy or statement
27. Is your company's statement of commitment regarding labor practices publicly available and/or communicated to all employees and contractors?
 - a. Yes, the statement is available publicly (please provide link)
 - b. Yes, the statement is available to employees and contractors only
 - c. No, the statement is not available publicly or to all employees and contractors
 - d. No such statement exists
28. Has your company performed a risk assessment to determine if there are areas at risk regarding labor or human rights?
 - a. Yes (please describe what risks have been identified and what actions have been taken)
 - b. No
29. Does your company verify that all wages are at or above the following? (Select all that apply).
 - a. The legal minimum
 - b. Industry benchmark standards
 - c. None of the above
30. Does your company communicate to workers, and document communication of, the terms and conditions of employment and termination?
 - a. Yes
 - b. No
31. Is company-sponsored housing provided to any workers?

- a. Yes (please give the approximate number of workers living in company-provided housing)
 - b. No
32. Does your company have programs to prevent the following? (select all that apply)
- a. Harassment
 - b. Coercion
 - c. Discrimination
 - d. Threatening behavior
 - e. Physical, sexual, or verbal abuse toward workers
 - f. Not Applicable – no such programs exist
33. Does your company have a program or procedure to ensure the confidentiality and protection of workers that report suspected violations of ethical misconduct?
- a. Yes
 - b. No
34. Has your company been adjudged to have violated, or been the subject of any suit, claim, inquiry or investigation alleging its violation of applicable laws, rules and regulations pertaining to forced, bonded, or compulsory labor, human trafficking, slavery, or child labor?
- a. Yes (please explain)
 - b. No
35. Does your company have a written Health & Safety policy, procedures, and practices?
- a. Yes
 - b. No
36. Does your company have a goal and programs to prevent and reduce work-related employee injuries and illnesses?
- a. Yes, company has a goal and programs in place
 - b. Company has a goal but no programs in place
 - c. Company has programs in place but no specified goal
 - d. No
37. Does your company provide Health & Safety training to employees (full-time, temporary, or contractor)?
- a. Yes
 - b. No
38. Does your company or facility perform Process Hazard Assessment (PHA)?
- a. Yes
 - b. No
 - c. Not Applicable
39. Does your company have an emergency preparedness response plan for a catastrophic incident affecting workplace safety?
- a. Yes
 - b. No

Corporate Ethics

40. Has your company faced charges or been subject to legal proceedings related to business ethics (e.g., corruption and bribery, anti-competitive practices) in the past five years?
- a. Yes (please describe)
 - b. No
41. Does your company have a formal ethics code or code of conduct that govern company and employee business practices? If yes, please select all the following that are covered?
- a. Business Integrity
 - b. Anti-competitive practices
 - c. Anti-corruption
 - d. Bribery
 - e. Conflict of Interest
 - f. Fraud

- g. Information Security
 - h. Responsible marketing
 - i. Other (please specify)
 - j. Not Applicable – company does not have formal ethics code or code of conduct
42. Do employees receive periodic training on the ethics code or code of conduct?
- a. Yes, for all employees and contractors
 - b. Yes, for all employees (but not all contractors)
 - c. The company has an ethics code or code of conduct, but employees do not receive periodic training
 - d. The company does not have an ethics code or code of conduct
43. If periodic training is received on the ethics code of conduct, please specify the frequency.
- a. More frequently than once a year
 - b. Once a year
 - c. Less frequently than once a year